SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Merrigan Paul J	2. Date of Event Requiring Stater Month/Day/Yea 09/08/2015	ment (r)	3. Issuer Name and Ticker or Trading Symbol <u>CATALYST PHARMACEUTICALS, INC.</u> [CPRX]					
(Last) (First) (Middle) 355 ALHAMBRA CIRCLE SUITE 1250 (Street) FORT LAUDERDALE FL 33134			4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below) Chief Commercial	10% Owne Other (spe below)	r cify Appli	th/Day/Year) dividual or Joint cable Line) Form filed b	ate of Original Filed /Group Filing (Check y One Reporting Person y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Options to purchase common stock	09/08/2016	09/08/2022	Common Stock	50,000	3.89	D		
Options to purchase common stock	(1)	09/08/2022	Common Stock	(1)	3.89	D		
Options to purchase common stock	09/08/2018	09/08/2022	Common Stock	4,159	3.89	D		

Explanation of Responses:

1. 4,167 shares will vest on a monthly basis on the 8th of each month commencing on October 8, 2016 through and until August 8, 2018.

<u>/s/ Paul J. Merrigan</u>

09/16/2015

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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