FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box if no longer subject to

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Elsbernd Brian					2. Issuer Name and Ticker or Trading Symbol CATALYST PHARMACEUTICALS, INC. [ CPRX ]									5. Relationship of Reporting Person(s) to Issuer Check all applicable)  Director 10% Owner  X Officer (give title Other (specify				
	(Last) (First) (Middle) 355 ALHAMBRA CIRCLE SUITE 1250				3. Date of Earliest Transaction (Month/Day/Year) 12/02/2019									below)	•	nce/Le	below)	· ·
(Street) CORAL GABLES FL		33134	4. If Amendment, Date of Original Filed (Month/Day)						ny/Year)		6. Indi Line) X	·						
(City)	(S	tate)	(Zip)															
		Та	ble I - Non-De	erivati	ve S	ecurities	s Ac	quired, D	ispo	sed c	of, or Be	nefici	ally	Owned				
Date				ransaction e nth/Day/		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Ins	Transaction Dispose Code (Instr.		ities Acquired (A) o d Of (D) (Instr. 3, 4 a		r and 5)	5. Amoun Securities Beneficial Owned For Reported	s For ally (D) ollowing (I) (		: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Code	_ A	mount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(111341. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		e and	7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		Derivative Security		9. Number derivative Securities Beneficia Owned Following Reported	e s ally	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expi Date	iration	Title	Amous or Number of Sha	er		Transaction(s) (Instr. 4)			
Options to purchase common stock	\$4.64	12/02/2019		A		132,000		(1)	12/02	2/2026	Common Stock	132,0	000	\$0	572,00	00	D	
Restricted Stock Units	(2)	12/02/2019		A		44,000		(1)		(3)	Common Stock	44,0	00	\$0	616,00	00	D	

## **Explanation of Responses:**

- 1. Derivative securities vest in equal tranches, 1/3rd on December 2, 2020, 1/3rd on December 2, 2021 and 1/3rd on December 2, 2022.
- 2. Each restricted stock unit represents a contingent right to receive one share of the Registrant's common stock upon vesting.
- 3. Shares of common stock will be delivered to the Reporting Person upon vesting

/s/ Brian Elsbernd

12/04/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.