# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

**CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d)** OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported): July 24, 2023

# CATALYST PHARMACEUTICALS, INC.

(Exact Name Of Registrant As Specified In Its Charter)

Delaware	001-33057
(State or other jurisdiction	(Commission
of incorporation)	File Number)

76-0837053 (I.R.S. Employer Identification No.)

355 Alhambra Circle **Suite 801** Coral Gables, Florida (Address of principal executive offices)

33134 (Zip Code)

Registrant's telephone number, including area code: (305) 420-3200

Not Applicable Former Name or Former address, if changed since last report

	ck the appropriate box below if the Form 8-K filing bowing provisions:	is intended to simultaneously satisfy the filing	obligation of the registrant under any of the	
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)			
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)			
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR240.14d-2(b))			
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))			
Securities registered pursuant to Section 12(b) of the Act:				
	Title of Each Class	Name of Exchange on Which Registered	Ticker Symbol	
	Common Stock, par value \$0.001 per share	NASDAQ Capital Market	CPRX	
	cate by check mark whether the registrant is an emer pter) or Rule 12b-2 of the Securities Exchange Act o		of the Securities Act of 1933 (§230.405 of this	
			Emerging Growth Company $\Box$	

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On July 24, 2023, Patrick J. McEnany, the Company's Chief Executive Officer ("CEO"), informed the Company's Board of Directors that he intends to retire as the Company's CEO by the end of 2023. Mr. McEnany has agreed that he will continue to serve as the Company's CEO until his replacement is in place, and following his retirement as CEO, Mr. McEnany will continue to serve as the non-Executive Chairman of Catalyst's Board of Directors. In his letter to the Board confirming his decision to retire as the Company's CEO, Mr. McEnany reported that his decision to retire as the Company's CEO was not the result of any disagreements between Mr. McEnany, on the one hand, and the Company's management or Board of Directors, on the other hand, as to any matter relating to the Company's operations, finances, policies, or practices.

The Board has retained a nationally recognized executive recruitment firm to initiate the search for Mr. McEnany's successor as CEO.

On July 25, 2023, the Company issued a press release announcing that Mr. McEnany intends to retire, as discussed above. A copy of the Company's press release is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

#### Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits
- 99.1 Press release issued by the Company on July 25, 2023.
- 104 Cover Page Interactive Data File (embedded within the Inline XBRL document)

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

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By:	/s/ Alicia Grande
	Alicia Grande
	Vice President, Treasurer and CFO

Dated: July 28, 2023

#### Patrick J. McEnany Announces Intention to Retire as CEO of Catalyst Pharmaceuticals by Year-End

Mr. McEnany will Continue to Serve as Catalyst's Non-Executive Chairman of the Board Following his Retirement

Nationally Recognized Executive Recruitment Firm has been Retained to Initiate Search

CORAL GABLES, Fla., July 25, 2023 — Catalyst Pharmaceuticals, Inc. ("Catalyst" or "Company") (Nasdaq: CPRX) today announced that Patrick J. McEnany, Catalyst's Co-Founder, Chairman, and Chief Executive Officer ("CEO") has informed the Board of Directors ("Board") of his intention to retire from his position as CEO by the end of 2023. Mr. McEnany remains the Company's largest individual stockholder and will continue to serve as CEO until his replacement is in place. Thereafter following his retirement as CEO, Mr. McEnany will continue to serve as non-Executive Chairman of Catalyst's Board of Directors.

"I want to thank Pat for his tremendous contributions to Catalyst as our Company's Co-Founder and CEO for the past 21 years," said Charles O'Keeffe, Lead Independent Director for Catalyst. "Under his leadership, Catalyst has successfully transformed from a start-up into a formidable biopharmaceutical company specializing in bringing FDA approved therapies to patients suffering from rare neurological conditions. He has overseen highly strategic acquisitions and partnerships by Catalyst, fostered a high-performance culture and a highly engaged team of associates at Catalyst. His work has shaped and solidified the foundation from which our company will continue to build for the future. We look forward to Pat's continued leadership and contribution as the Chairman of our Board of Directors."

"It has been an exceptional privilege to be the Co-Founder and CEO of Catalyst, which has provided me with the unique opportunity to oversee and actively contribute to the remarkable growth and substantial accomplishments that have driven our company's success over the past two decades. I am incredibly proud of all we have achieved, and I look forward to continuing to serve as Chairman of the Board and helping guide our collective efforts for further growth," stated Patrick J. McEnany, Co-Founder, Chairman, and CEO of Catalyst. "While I am looking forward to this next step, I want to thank our Board of Directors and my colleagues for their sustained partnership as we continue to deliver on the exceptional performance that is reshaping the future of the Company for further success, as well as our dedicated team of employees whose contributions and patient focus commitment have helped us realize our business objectives. I also want to take this opportunity to express gratitude to my incredible family for their unwavering support in fulfilling the mission of helping patients and our communities."

The Company's Board of Directors has retained a nationally recognized executive recruitment firm to initiate the search for Mr. McEnany's successor as CEO. Once a successor has been appointed, Mr. McEnany will assist in the transition from his position as CEO and will continue to serve as non-executive Chairman of the Board for Catalyst. This transition is designed to provide leadership continuity and reflects the Company's commitment to the continued execution of its business operations and strategic growth initiatives.

#### **About Catalyst Pharmaceuticals**

With exceptional patient focus, Catalyst is committed to developing and commercializing innovative first-in-class medicines that address rare neurological and epileptic diseases. Catalyst's U.S. commercial product portfolio consists of FIRDAPSE® (amifampridine) Tablets 10 mg, approved for the treatment of Lambert-Eaton myasthenic syndrome ("LEMS") for adults and children ages six to seventeen. In January 2023, Catalyst acquired the U.S. commercial rights of FYCOMPA® (perampanel) CIII, a prescription medicine approved in people with epilepsy aged four and older alone or with other medicines to treat partial-onset seizures with or without secondarily generalized seizures, and with other medicines to treat primary generalized tonic-clonic seizures for people with epilepsy aged 12 and older. Further, Canada's national healthcare regulatory agency, Health Canada, has approved the use of FIRDAPSE for the treatment of adult patients in Canada with LEMS. Finally, on July 18, 2023, Catalyst acquired an exclusive license for North America for vamorolone, a promising best-in-class dissociative anti-inflammatory steroid treatment for patients suffering from Duchenne muscular dystrophy. Vamorolone has received FDA Orphan Drug and Fast Track designations and has been granted a PDUFA action date of October 26, 2023.

For more information, visit the Company's website at www.catalystpharma.com. For Full Prescribing and Safety Information for FIRDAPSE®, please visit www.firdapse.com. For Full Prescribing Information, including Boxed WARNING for FYCOMPA®, please visit www.fycompa.com.

### **Forward-Looking Statements**

This press release contains forward-looking statements. Forward-looking statements involve known and unknown risks and uncertainties, which may cause Catalyst's actual results in future periods to differ materially from forecasted results. A number of factors, including (i) factors and uncertainties relating to the Company's contemplated CEO transition, (ii) whether the new CEO selected will have the desired level of experience and expertise, (iii) the potential impact on Catalyst's business and future strategic direction resulting from the CEO transaction, (iv) Catalyst's ability to retain other key members of senior management following the hiring of a new CEO, and (v) those factors described in Catalyst's Annual Report on Form 10-K for the fiscal year 2022 and its other filings with the U.S. Securities and Exchange Commission ("SEC"), could adversely affect Catalyst. Copies of Catalyst's filings with the SEC are available from the SEC, may be found on Catalyst's website, or may be obtained upon request from Catalyst. Catalyst does not undertake any obligation to update the information contained herein, which speaks only as of this date.

Source: Catalyst Pharmaceuticals, Inc.

### **Investor Contact**

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## **Media Contact**

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