SEC Form 4

FORM 4

obligations may continue. See Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BEN	EFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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Tierney David S CATALYST PHARMACEUTICALS, INC. (Check all applicable) (Last) (First) (Middle) 355 ALHAMBRA CIRCLE, SUITE 801 3. Date of Earliest Transaction (Month/Day/Year) 08/22/2022 (Street) (Corral GABLES S134	(City)	(State)	(Zip)			r eisun				
Contraction of reporting reportex reportex reporting reporting reporting reporting re	CORAL	CORAL FL 33134		4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	Form filed by One Re Form filed by More that	porting Person			
CATALVST DHADMACELITICALS INC (Check all applicable)	(Last) (First) (Middle)		· · · ·	3. Date of Earliest Transaction (Month/Day/Year)		Officer (give title	Other (specify			
1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer			Person*	, , , , , , , , , , , , , , , , , , ,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				

Table I	I - Non-Derivativ	e Securities A	Acquired Dis	nosed of	or Reneficially	/ Owned
i abie i		e Securities F	Cyuneu, Dis	poseu oi,	of Deficition	y Owneu

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount (A) or Price			Transaction(s) (Instr. 3 and 4)		
Common stock, par value \$0.001 per share	08/22/2022		М		20,000	A	\$2.53	328,207	D	
Common stock, par value \$0.001 per share	08/22/2022		S		20,000(1)	D	\$ 14.159 ⁽²⁾	308,207	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options to purchase common stock	\$2.53	08/22/2022		М			20,000	(3)	12/30/2022	Common Stock	20,000	\$ <u>0</u>	296,834	D	

Explanation of Responses:

1. Dr. Tierney has advised the Company that the sale of shares was to pay the exercise cost of the shares and to raise funds for personal reasons and does not relate to any disagreements with the Company on any matter relating to the Company's operations, policies or practices. Dr. Tierney remains a Director of the Company.

2. Shares were sold in various lots from \$14.15 to \$14.20 per share. The listed sales price represents A weighted average price for the shares sold.

3. The option was granted on December 30, 2015 and previously vested in two annual installments.

/s/ David S. Tierney

** Signature of Reporting Person

08/23/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.