FORM 3

## **UNITED STATES SECURITIES AND EXCHANGE** COMMISSION

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## OMB APPROVAL Washington, D.C. 20549

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## **SECURITIES**

2. Date of Event 3. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person Requiring Statement CATALYST PHARMACEUTICALS, INC. [ CPRX ] Kalb Michael Wayne (Month/Day/Year) 01/01/2024 4. Relationship of Reporting Person(s) to 5. If Amendment, Date of Original (Last) (First) (Middle) Filed (Month/Day/Year) Issuer 355 ALHAMBRA CIRCLE (Check all applicable) 10% Owner Director **SUITE 801** 6. Individual or Joint/Group Filing Officer (give Other (specify (Check Applicable Line) title below) below) Form filed by One Reporting (Street) Executive Vice President & CFO Person **CORAL** Form filed by More than One 33134 FL Reporting Person **GABLES** (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Beneficially Owned (Instr. Form: Direct Ownership (Instr. 5) 4) (D) or Indirect (I) (Instr. 5) 0 Common Stock, par value \$0.001 per share **Table II - Derivative Securities Beneficially Owned** (e.g., puts, calls, warrants, options, convertible securities) 3. Title and Amount of Securities 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and 6. Nature of **Expiration Date Underlying Derivative Security** Conversion Ownership **Indirect Beneficial** (Month/Day/Year) (Instr. 4) or Exercise Form: Ownership (Instr. Price of Direct (D) 5) Amount Derivative or Indirect or Security (I) (Instr. 5) Number **Expiration** Date of Exercisable Title **Shares** Date 01/01/2031 Common Stock 257,214 16.81 D Options to purchase common stock Restricted Stock Units (1) (2) Common Stock (3) D 35,693

## **Explanation of Responses:**

- 1. Derivative Securities vest in five annual tranches beginning on January 1, 2025.
- 2. Shares of common stock will be delivered to the Reporting Person upon vesting.
- 3. Each restricted stock unit represents a contingent right to receive one share of the Registrant's common stock upon vesting.

/s/ Michael W. Kalb

01/03/2024

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.