SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

3235-0104

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RSHIP OF

hours per response:

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Address of Repo nen Jeffrey	-	2. Date of Requiring (Month/Da 06/23/20	Statement ay/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CATALYST PHARMACEUTICALS, INC.</u> [ CPRX ]						
(Last) 355 ALHA SUITE 125	(First) MBRA CIRC 0	(Middle)	_		4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below)	10% O Other (	Person(s) to 10% Owner Other (specify below)		5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(Street) CORAL GABLES	FL	33134	_		Chief Commercia	ial Officer		X			
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Sec				2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In (I) (Instr	Direct Own Indirect		ature of Indirect Beneficial hership (Instr. 5)			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
E			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
		Date Exercisable	Expiration Date		Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)		
Options to p	ourchase Com	nmon Stock	(1)	08/06/2025	Common Stock	150,000	2.86		D		
Options to purchase Common Stock		(2)	12/19/2025	Common Stock	40,000	2.24		D			
Options to purchase Common Stock			(3)	01/06/2027	Common Stock	30,000	4.21		D		
Options to purchase Common Stock			(4)	06/23/2027	Common Stock	150,000	4.7		D		
Restricted Stock Units			(5)	(5)	Common Stock	30,000	(6)		D		

**Explanation of Responses:** 

1. Options have vested or will vest in five equal tranches on August 6, 2019, 2020, 2021, 2022 and 2023.

2. Options have vested or will vest in three equal tranches on December 19, 2019, 2020 and 2021.

3. Options will vest in three equal tranches on January 6, 2021, 2022 and 2023.

4. Options will vest in five equal tranches on June 23, 2021, 2022, 2023, 2024 and 2025.

5. Derivative security will vest in three equal tranches on June 23, 2021, 2022 and 2023.

6. Each restricted stock unit represents a contingent right to receive one share of the Registrant's common stock upon vesting.

07/02/2020

<u>/s/ Jeffrey Del Carmen</u> \*\* Signature of Reporting Person

\_\_\_\_\_

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.